

# OHIO PUBLIC WORKS COMMISSION

65 East State Street, Suite 312  
Columbus, Ohio 43215  
(614) 466-0880

CB605

## APPLICATION FOR FINANCIAL ASSISTANCE

Revised 6/90

**IMPORTANT:** Applicant should consult the "Instructions for Completion of Project Application" for assistance in the proper completion of this form.

**APPLICANT NAME** City of Forest Park  
**STREET** 1201 West Kemper Road

**CITY/ZIP** Forest Park, Ohio 45240

**PROJECT NAME** Hanover Drive - Kemper to Kenn  
**PROJECT TYPE** Roadway Repair and Resurfacing  
**TOTAL COST** \$291,120.00

**DISTRICT NUMBER** 2  
**COUNTY** Hamilton

**PROJECT LOCATION ZIP CODE** 45240

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RECEIVED  
DISTRICT 2

### DISTRICT FUNDING RECOMMENDATION

To be completed by the District Committee ONLY

**RECOMMENDED AMOUNT OF FUNDING:** \$ 232,900.00

**FUNDING SOURCE (Check Only One):**

State Issue 2 District Allocation

☒

Grant

☐

Loan

☐

Loan Assistance

☐ State Issue 2 Small Government Fund

☐ State Issue 2 Emergency Funds

☐ Local Transportation Improvement Fund

### FOR OPWC USE ONLY

OPWC PROJECT NUMBER: \_\_\_\_\_

OPWC FUNDING AMOUNT: \$ \_\_\_\_\_

# 1.0 APPLICANT INFORMATION

1.1 **CHIEF EXECUTIVE OFFICER** Ray Hodges  
**TITLE** City Manager  
**STREET** City of Forest Park  
1201 West Kemper Road  
**CITY/ZIP** Forest Park, Ohio 45240  
**PHONE** (513) 595-5200  
**FAX** (513) 825-8515

1.2 **CHIEF FINANCIAL OFFICER** Fred Watterson  
**TITLE** Financial Director  
**STREET** City of Forest Park  
1201 West Kemper Road  
**CITY/ZIP** Forest Park, Ohio 45240  
**PHONE** (513) 595-5200  
**FAX** (513) 825-8515

1.3 **PROJECT MANAGER** John L. Eisenmann, P.E., P.S.  
**TITLE** City Engineer  
**STREET** CDS Associates, Inc.  
11120 Kenwood Road  
**CITY/ZIP** Cincinnati, Ohio 45242  
**PHONE** (513) 791-1700  
**FAX** (513) 791-1936

1.4 **PROJECT CONTACT** John L. Eisenmann, P.E., P.S.  
**TITLE** City Engineer  
**STREET** CDS Associates, Inc.  
11120 Kenwood Road  
**CITY/ZIP** Cincinnati, Ohio 45242  
**PHONE** (513) 791-1700  
**FAX** (513) 791-1936

1.5 **DISTRICT LIAISON** Joseph D. Cottrill  
**TITLE** District 2 Liaison Officer  
**STREET** Hamilton County Engineers Office  
138 East Court Street, Room 700  
**CITY/ZIP** Cincinnati, Ohio 45202  
**PHONE** (513) 632-8540  
**FAX** (513) 732-9748

## 2.0 PROJECT INFORMATION

**IMPORTANT:** If project is multi-jurisdictional in nature, information must be consolidated for completion of this section.

2.1 **PROJECT NAME:** Hanover Drive - Kemper to Kenn

2.2 **BRIEF DESCRIPTION - (Sections A through D):**

**A. SPECIFIC LOCATION:**

Hanover Drive from West Kemper Road to Kenn Road, City of Forest Park, Hamilton County.

**B. PROJECT COMPONENTS:**

The work will include replacement of all curb & gutter from Heatherstone to Kenn Road, restoration of failed pavement sections (7%  $\pm$  estimated); construction of a 3/4" average leveling course, applying full width pavement fabric and a 1-1/2" surface course over the entire roadway. Concrete driveway apron replacement (50% $\pm$  estimated) due to new curb elevations, and sidewalk replacement for curb ramp installation will also be necessary.

**C. PHYSICAL DIMENSIONS/CHARACTERISTICS:**

These proposed improvements are for a 24' wide pavement section (25' back to back curb) and the project length extends for approximately 3,750 L.F.

**D. DESIGN SERVICE CAPACITY:**

**IMPORTANT:** Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include current residential rates based on monthly usage of 7,756 gallons per household.

The existing roadway dimensions will not be altered by this project. The road is currently carrying an average daily traffic volume of 2,765 vpd. The roadway currently has adequate lane capacity as a two lane road with one parking lane on the west side of the road.

2.3 **REQUIRED SUPPORTING DOCUMENTATION**

(Photographs/Additional Description; Capital Improvements Report; Priority List; 5-year Plan; 2-year Maintenance of Effort report, etc) Also discuss the number of temporary and/or fulltime jobs which are likely to be created as a result of this project. Attach Pages. Refer to accompanying instructions for further detail.

### 3.0 PROJECT FINANCIAL INFORMATION

#### 3.1 PROJECT ESTIMATED COSTS (Round to Nearest Dollar):

a)	Project Engineering Costs:	
1.	Preliminary Engineering	\$ <u>N/A</u>
2.	Final Design	\$ <u>N/A</u>
3.	Construction Supervision	\$ <u>N/A</u>
b)	Acquisition Expenses	
1.	Land	\$ <u>N/A</u>
2.	Right-of-Way	\$ <u>N/A</u>
c)	Construction Costs	\$ <u>264,655.00</u>
d)	Equipment Costs	\$ <u>                    </u>
e)	Other Direct Expenses	\$ <u>                    </u>
f)	Contingencies	\$ <u>26,465.00</u>
g)	<b>TOTAL ESTIMATED COSTS</b>	\$ <u>291,120.00</u>

#### 3.2 PROJECT FINANCIAL RESOURCES (Round to Nearest Dollar and Percent):

	Dollars	%
a)	Local In-Kind Contributions*	\$ <u>                    </u>
b)	Local Public Revenues	\$ <u>58,220.00</u> <u>20</u>
c)	Local Private Revenues	\$ <u>                    </u>
d)	Other Public Revenues	
1.	ODOT	\$ <u>                    </u>
2.	FMHA	\$ <u>                    </u>
3.	OEPA	\$ <u>                    </u>
4.	OWDA	\$ <u>                    </u>
5.	CDBG	\$ <u>                    </u>
6.	Other <u>                    </u>	\$ <u>                    </u>
e)	OPWC Funds	
1.	Grant	\$ <u>232,900.00</u> <u>80</u>
2.	Loan	\$ <u>                    </u>
3.	Loan Assistance	\$ <u>                    </u>
f)	<b>TOTAL FINANCIAL RESOURCES</b>	\$ <u>291,120.00</u> <u>100</u>

\* If the required local match is to be 100% In-Kind Contributions, list source of funds to be used for retainage purposes.

#### 3.3 AVAILABILITY OF LOCAL FUNDS

Indicate the status of all local share funding sources listed in section 3.2(a) through 3.4(c). In addition, if funds are coming from sources listed in section 3.2(d), the following information must be attached to this project application:

- 1) The date funds are available;
- 2) Verification of funds in the form of an agency approval letter or agency project number. Please include the name and number of the agency contact person.

### 3.4 PREPAID ITEMS N/A

#### Definitions:

<b>Cost -</b>	Total Cost of the Prepaid Item.
<b>Cost Item -</b>	Non-construction costs, including preliminary engineering, final design, acquisition expenses (land or right-of-way).
<b>Prepaid -</b>	Cost items (non-construction costs directly related to the project), paid prior to receipt of fully executed Project Agreement from OPWC.
<b>Resource Category -</b>	Source of funds (see section 3.2).
<b>Verification -</b>	Invoice(s) and copies of warrant(s) used to for prepaid costs, accompanied by Project Manager's Certification (see section 1.4).

**IMPORTANT:** Verification of all prepaid items shall be attached to this project application.

	<u><b>COST ITEM</b></u>	<u><b>RESOURCE CATEGORY</b></u>	<u><b>COST</b></u>
1)	_____	_____	\$ _____
2)	_____	_____	\$ _____
3)	_____	_____	\$ _____
TOTAL OF PREPAID ITEMS		\$ N/A	

### 3.5 REPAIR/REPLACEMENT or NEW/EXPANSION

This section need only be completed if the Project is to be funded by SI2 funds:

<b>TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT</b>	<b>\$291,120.00</b>	<b>100 %</b>
State Issue 2 Funds for Repair/Replacement (Not to Exceed 90%)	<u>\$232,900.00</u>	<u>80 %</u>
<b>TOTAL PORTION OF PROJECT NEW/EXPANSION</b>	<b>\$NONE</b>	<b>_____ %</b>
State Issue 2 Funds for New/Expansion (Not to Exceed 50%)	<u>\$ _____</u>	<u>_____ %</u>

### 4.0 PROJECT SCHEDULE

	ESTIMATED START DATE	ESTIMATED COMPLETE DATE
4.1 ENGR. DESIGN	01/11/93	04/16/93
4.2 BID PROCESS	04/19/93	05/11/93
4.3 CONSTRUCTION	05/31/93	10/22/93

\*Design Schedule is contingent upon notification date for funding

## 5.0 APPLICANT CERTIFICATION

The Applicant Certifies That:

As the official representative of the Applicant, the undersigned certifies that: (1) he/she is legally empowered to represent the applicant in both requesting and accepting financial assistance as provided under Chapter 164 of the Ohio Revised Code and 164-1 of the Ohio Administrative Code; (2) that to the best of his/her knowledge and belief, all representations that are a part of this application are true and correct; (3) that all official documents and commitments of the applicant that are a part of this application have been duly authorized by the governing body of the Applicant; (4) and, should the requested financial assistance be provided, that in the execution of this project, the Applicant will comply with all assurances required by Ohio Law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

**IMPORTANT:** Applicant certifies that physical construction on the project as defined in this application has not begun, and will not begin, until a Project Agreement on this project has been issued by the Ohio Public Works Commission. Action to the contrary is evidence that OPWC funds are not necessary to complete this project.

**IMPORTANT:** In the event of a project cost underrun, applicant understands that the identified local match share (sections 3.2(a) through 3.2(c)) will be paid in full toward completion of this project. Unneeded OPWC funds will be returned to the funding source from which the project was financed.

Ray H. Hodges, City Manager

Certifying Representative (Type Name and Title)

 9-29-92

Signature/Date Signed

Applicant shall check each of the statements below, confirming that all required information is included in this application:

- ☒ A five-year Capital Improvements Report as required in 164-1-31 of the Ohio Administrative Code and a two-year Maintenance of Local Effort Report as required in 164-1-12 of the Ohio Administrative Code.
- ☒ A registered professional engineer's estimate of useful life as required in 164-1-13 of the Ohio Administrative Code. Estimate shall contain engineer's original seal and signature.
- ☒ A registered professional engineer's estimate of cost as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimate shall contain engineer's original seal and signature.
- ☒ A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and to execute contracts.
- ☐ Yes  
☒ N/A A copy of the cooperation agreement(s) (for projects involving more than one subdivision or district).
- ☐ Yes  
☒ N/A Copies of all invoices and warrants for those items identified as "pre-paid" in section 4.4 of this application.

## HANOVER DRIVE - ROADWAY REPAIR AND RESURFACING

OPINION OF CONSTRUCTION COST

CITY OF FOREST PARK, OHIO

SPEC NO.	ITEM	ESTIMATED QUANTITY	UNIT OF MEASURE	UNIT COST	ITEM COST
253	Pavement Repair (Full Depth)	750	SY	35.00	26,250.00
254	Pavement Planing at Intersection	330	SY	6.00	1,980.00
609	Concrete Curb Removal & Replacement	7,250	LF	14.00	101,500.00
403	Asphalt Concrete Wedge Course 1-1/2" at Centerline to 0" at gutter plate	225	CY	60.00	13,500.00
SPL	Pavement Fabric (Full Width)	10,800	SY	1.50	16,200.00
404	Asphalt Concrete Surface Course 1-1/2"	450	CY	60.00	27,000.00
608	Concrete Walk Removal & Replacement	2,400	SF	4.50	10,800.00
608	Curb Ramps (Extra Forming)	18	EA	150.00	2,700.00
608	Concrete Apron Removal & Replacement	8,700	SF	5.25	45,675.00
604	Catch Basin Replacement or Major Reconstruction	3	EA	1,900.00	5,700.00
604	Raise Catch Basins	14	EA	275.00	3,850.00
604	Manhole Adjustment	28	EA	100.00	2,800.00
614	Traffic Maintenance	1	LS	5,000.00	5,000.00
621	Pavement Markings	1	LS	500.00	500.00
632	Traffic Loop Replacement	1	EA	1,200.00	1,200.00

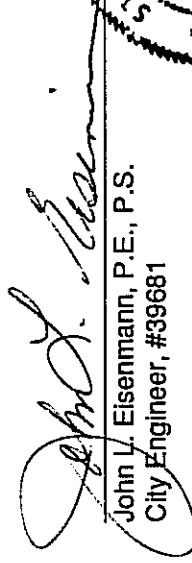
**HANOVER DRIVE - ROADWAY REPAIR AND RESURFACING**  
**OPINION OF CONSTRUCTION COST**  
**CITY OF FOREST PARK, OHIO**

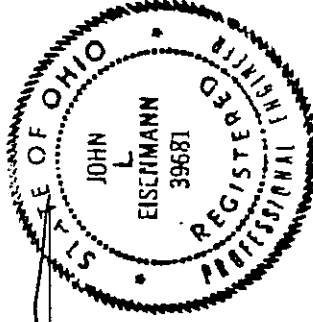
**PAGE TWO**

SPEC NO.	ITEM	ESTIMATED QUANTITY	UNIT OF MEASURE	UNIT COST	ITEM COST
	SUB-TOTAL:				264,655.00
	CONTINGENCIES (10%):				26,465.00
	TOTAL:				291,120.00

USEFUL LIFE: UPON COMPLETION OF THE DETAILED PLANS AND SATISFACTORY COMPLETION OF THE WORK, THE USEFUL LIFE OF THE PAVEMENT IMPROVEMENTS WILL BE 15 YEARS AND THE USEFUL LIFE OF THE CURB REPLACEMENT WILL BE 20 YEARS.

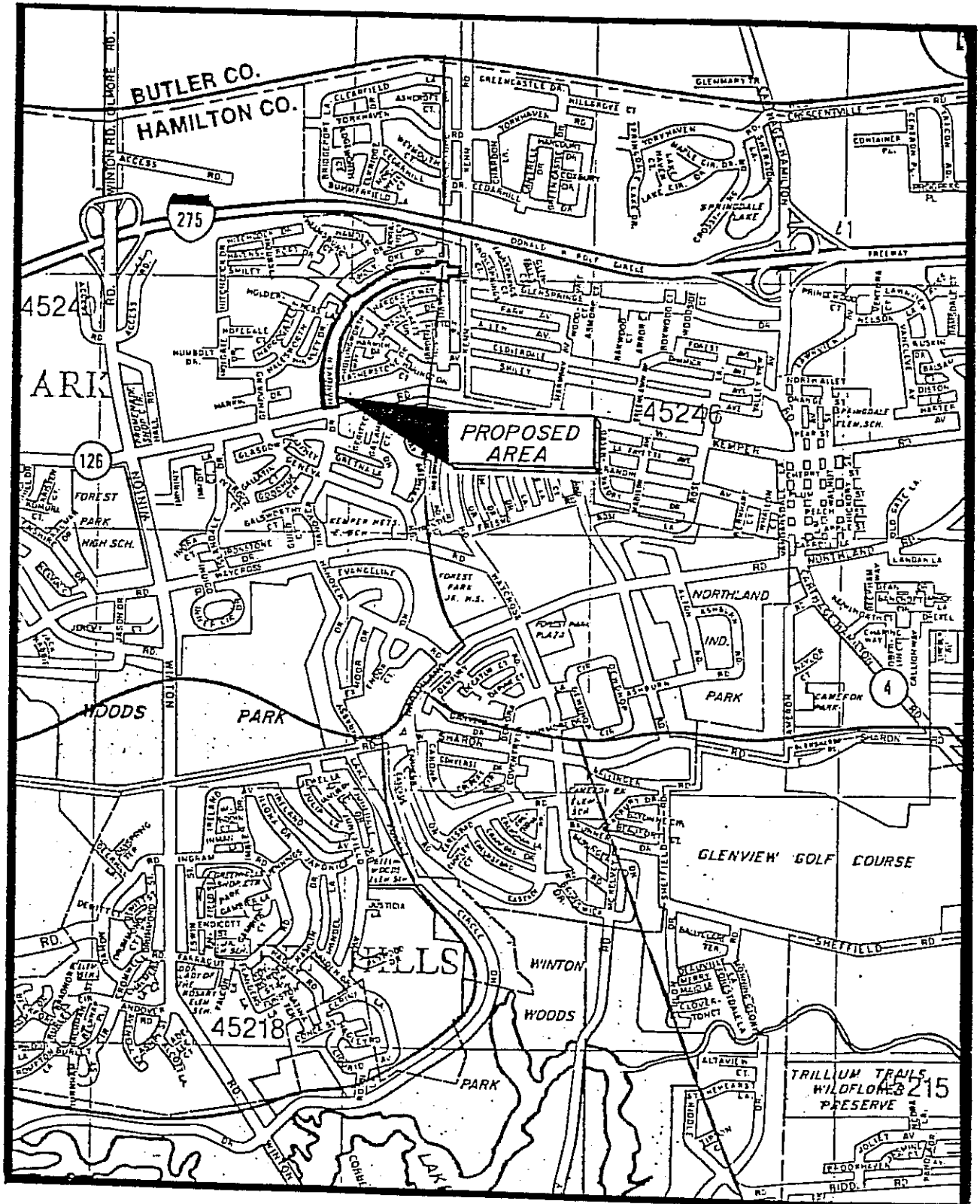
OPINION OF CONSTRUCTION COST IS SUBJECT TO ADJUSTMENT UPON DETAILED CONSTRUCTION PLAN COMPLETION AND UPON RECEIPT OF BIDS FROM QUALIFIED CONTRACTORS.

  
 John L. Eisenmann, P.E., P.S.  
 City Engineer, #39681





HANOVER DRIVE FROM WEST KEMPER ROAD  
TO KENN ROAD



RESOLUTION NO. 65-1992

A RESOLUTION AUTHORIZING THE CITY MANAGER  
TO FILE AN APPLICATION WITH THE  
OHIO PUBLIC WORKS COMMISSION FOR  
STATE ISSUE #2 FUNDS

- WHEREAS, street/road repairs are a priority of the City of Forest Park, and
- WHEREAS, the Ohio Revised Code has allowed for the issuance of State Issue #2 funds for 1993, and
- WHEREAS, the District Public Works Integrating Committee of Hamilton County (DPWIC) is the recipient of State Issue II funds and LTIP funds from the Ohio Public Works Commission (OPWC), and
- WHEREAS, the City of Forest Park will apply for funding under State Issue #2 as part of District #2 (Hamilton County) allocation for infrastructure repairs and improvements.

NOW, THEREFORE, Be It Resolved by the Council of the City of Forest Park, Ohio.

SECTION 1.

That the Council of the City of Forest Park does hereby endorse and support the applications for State Issue #2 funds for infrastructure repairs and improvements as follows:

1. Mill Road (Sharon to I-275) - \$321,000
2. Hanover Road (Kemper to Kenn) - \$291,000
3. D Section (Decatur, Daphne, and Donora) - \$168,000
4. Waycross Road Phase III - \$1.4 million

SECTION 2.

That the City Manager is hereby authorized and directed to file applications with the District Public Works Integrating Committee of Hamilton County (DPWIC) for Ohio Public Works Commission funding under State Issue #2 for 1993, and if awarded to implement said program.

CERTIFICATE  
I, Joanne Lee (Atty),  
1, KATHRYN L. LIVES, CLERK OF COUNCIL OF THE CITY OF FOREST PARK,  
OHIO, HEREBY CERTIFY THAT THE FOREGOING IS A TRUE, EXACT AND COM-  
PLETE COPY OF Resolution No. 65-1992  
ADOPTED BY THE COUNCIL OF SAID CITY, ON THE 21st  
DAY OF September, 1992, AND THAT THE  
SAME IS IN FULL FORCE AND EFFECT AND HAS NOT BEEN REPEALED OR  
AMENDED.

Joanne Lee

SECTION 3.

That the City of Forest Park hereby requests the District Public Works Integrating Committee (DPWIC) and the Ohio Public Works Commission (OPWC) to consider and fund these applications.

SECTION 4.

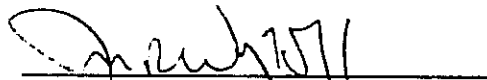
This resolution shall be in full force and take effect upon its passage.

Passed this 21st day of September, 1992.

  
\_\_\_\_\_  
MAYOR

  
\_\_\_\_\_  
CLERK OF COUNCIL (ACTING)

APPROVED AS TO FORM:

  
\_\_\_\_\_  
LAW DIRECTOR



# CITY OF FOREST PARK *Finance Department*

**1201 West Kemper Road • Forest Park, Ohio 45240 • (513) 595-5209**

September 23, 1992

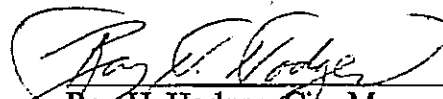
**TO: THE REVIEW COMMITTEE FOR STATE ISSUE 2 FUNDING**

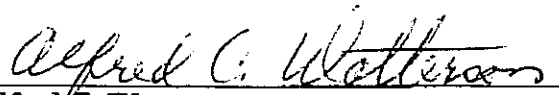
**RE: Statement of Status of Funds to Support Local  
Share of State Issue 2 Projects**

As part of our application process and on behalf of the City of Forest Park, we hereby submit to you our statement of status of funds. We are utilizing a combination of debt financing, permissive license fees, and general operating funds derived from various sources. Specifically, we certify the availability of:

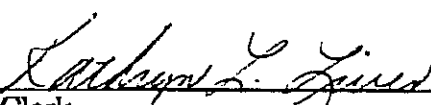
	<u>PROJECT</u>	<u>AMOUNT</u>	<u>SOURCES</u>
1.	Mill Road Rehabilitation	59,280.00	Municipal Road Funds 1993 Grant Application
2.	Waycross Rd. Improvements Phase 3, Hamilton to Mill	466,727.00	Municipal Road Funds 1993 Grant Application
3.	Decatur Ct., Daphne Ct. & Donora Ln. Repairs & Resurfacing (Waycross to W. Sharon)	34,360.00	General & Street Funds
4.	Hanover Rd. Phase I Repair & Resurfacing	58,220.00	General & Street Funds

As indicated above, we certify that we have funds available to cover the cost of our local share of the project.

  
Ray H. Hodges, City Manager  
Chief Executive Officer

  
Alfred C. Watterson  
Director of Finance

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my official seal, this  
30th day of September, 1992.

  
Kathryn L. Linder  
Clerk  
City of Forest Park, Ohio

**EXHIBIT 1**  
**CITY OF FOREST PARK, OHIO**  
**COMBINED BALANCE SHEET - ALL FUND TYPES AND ACCOUNT GROUPS**  
**DECEMBER 31, 1991**  
**(WITH COMPARATIVE TOTALS FOR 1990)**

ASSETS	GOVERNMENTAL FUNDS			FIDUCIARY FUND TYPE		ACCOUNT GROUPS		TOTALS	
	GENERAL	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	TRUST AND AGENCY	GENERAL FIXED ASSETS	LONG TERM DEBT	MEMORANDUM 1991	ONLY 1990 (a)
Equity in Pooled Cash and Cash Equivalents (Note 2)	\$1,165,595	\$837,337	\$35,613	\$2,367,999	\$234,826			\$4,641,370	\$3,955,080
Receivables:									
Accrued Interest	5,230	15,336		27,893	821			49,280	7,115
Property Taxes	609,287	799,608						1,408,895	1,140,493
Due From Other Funds	15,244							15,244	46,737
Prepaid Expenses	5,819	1,584						7,403	7,958
Funds on Deposit with Deferred Compensation Board									
Land, Building, Equipment, and Vehicles					183,923	\$7,262,629	\$35,613	183,923	118,586
Amount Available in Debt Service Fund								7,262,629	5,722,518
Amount To Be Provided to Retire Long-Term Debt								35,613	35,658
Amount To Be Provided to Retire Other Obligations								6,535,647	3,304,342
								760,058	675,234
<b>TOTAL ASSETS</b>	<b>\$1,801,175</b>	<b>\$1,653,865</b>	<b>\$35,613</b>	<b>\$2,395,892</b>	<b>\$419,570</b>	<b>\$7,262,629</b>	<b>\$7,331,318</b>	<b>\$20,900,062</b>	<b>\$15,013,721</b>
<b>LIABILITIES &amp; FUND EQUITY</b>									
Accounts Payable	\$99,453	\$38,086		\$82,762	\$649			\$220,950	\$266,576
Accrued Payroll, Pension, Vacation and Sick Leave (Notes 7, 10, & 11)	145,934	44,159		30,804	3,819		\$760,058	953,970	943,471
Accrued Other Liabilities		582			183,923			31,386	76,295
Deferred Compensation Payable					9,941			183,923	118,586
Due To Other Funds		5,303			1,765			15,244	46,737
Due to Other Governmental Units					67,236			1,765	3,168
Deposits Payable								67,236	61,576
Notes Payable (Note 5)		15,000		483,000				498,000	2,202,500
Deferred Revenues-Property Taxes	609,287	799,608						1,408,895	1,140,494
General Obligation Bonds Payable (Notes 6 & 7)							6,415,000	6,415,000	3,340,000
Public Works Loan Payable							156,260	156,260	
<b>TOTAL LIABILITIES</b>	<b>\$854,674</b>	<b>\$902,738</b>		<b>\$596,566</b>	<b>\$267,333</b>		<b>\$7,331,318</b>	<b>\$9,952,629</b>	<b>\$8,199,403</b>
Investment in General Fixed Assets									
Fund Balance (Deficit) (Notes 12 & 13)									
Reserved for Encumbrances	\$237,352	\$34,011	\$35,613	\$1,570,741	\$15			1,842,119	588,373
Reserved for Debt Service	5,819	1,584						35,613	35,658
Reserved for Prepaid Expenses	703,330	715,532		228,585	152,222			7,403	7,958
Unreserved								1,799,669	459,811
<b>TOTAL EQUITY (DEFICIT)</b>	<b>\$946,501</b>	<b>\$751,127</b>	<b>\$35,613</b>	<b>\$1,799,326</b>	<b>\$152,237</b>	<b>\$7,262,629</b>		<b>\$10,947,433</b>	<b>\$6,814,318</b>
<b>TOTAL LIABILITIES AND FUND EQUITY</b>	<b>\$1,801,175</b>	<b>\$1,653,865</b>	<b>\$35,613</b>	<b>\$2,395,892</b>	<b>\$419,570</b>	<b>\$7,262,629</b>	<b>\$7,331,318</b>	<b>\$20,900,062</b>	<b>\$15,013,721</b>

See accompanying notes to financial statements.

(a) Restated for inclusion of the Deferred Compensation Fund and reclassification of the Stormwater Management Utility Fund.

EXHIBIT 2  
CITY OF FOREST PARK, OHIO  
COMBINED STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES  
ALL GOVERNMENTAL FUND TYPES AND EXPENDABLE TRUST FUNDS  
FOR THE YEAR ENDED DECEMBER 31, 1991  
(WITH COMPARATIVE TOTALS FOR 1990)

	GOVERNMENTAL FUNDS			FIDUCIARY FUND TYPE		TOTALS	
	GENERAL	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	EXPENDABLE TRUST	MEMORANDUM ONLY	1990 (a)
REVENUES							
Municipal Income Taxes	\$3,580,862					\$3,580,862	\$2,992,415
Property Taxes	668,784	\$490,343				1,159,127	1,096,881
Other Taxes	395,770	260,745				656,515	660,816
Intergovernmental Revenues	355,220	299,455				654,675	355,500
Special Assessments	15,085					15,085	20,502
Charges for Services	5,388	546,821				552,209	458,205
Fees, Licenses and Permits	153,512	13,746			\$145,439	312,697	329,079
Investment Earnings	79,339	57,805	(\$45)	\$145,017	10,965	293,081	360,961
Fines and Forfeitures	146,168					146,168	170,597
All Other Revenues	96,277	49,201		20,000	9,482	174,960	417,515
TOTAL REVENUES	\$5,496,405	\$1,718,116	(\$45)	\$165,017	\$165,886	\$7,545,379	\$6,862,471
EXPENDITURES							
Security of Persons & Property	\$1,800,211	\$681,589				\$2,481,800	\$2,329,257
Public Health & Welfare Services	14,099	54,649				68,748	58,293
Leisure Activities	145,241					145,241	126,352
Community Environment	1,027,111					1,027,111	748,945
Streets and Transportation		357,633				357,633	1,017,150
Storm Drainage		252,493				252,493	529,894
Basic Utility Services	54,986					54,986	51,716
General Government	1,516,281	895,274		\$68,605	\$121,035	1,685,921	1,730,570
Capital Outlay	109,149			788,987	21,724	1,815,134	530,377
Debt Service							
Principal Retirement		25,000	\$100,000			125,000	75,000
Interest and Fiscal Charges		110,355	214,359	98,520		423,234	404,790
TOTAL EXPENDITURES	\$4,667,078	\$2,376,993	\$314,359	\$936,112	\$142,759	\$8,437,301	\$7,602,344
Excess (Deficiency) of Revenues Over Expenditures	\$829,327	(\$658,877)	(\$314,404)	(\$771,095)	\$23,127	(\$891,922)	(\$739,873)
Other Financing Sources (Uses)							
Proceeds from Sales of Land		156,260		128,666		128,666	211,754
Proceeds from Note		1,025,000		2,175,000		156,260	
Proceeds from Issuance of Bonds		17,700	314,359			3,200,000	302,278
Operating Transfers-In						332,059	(302,278)
Operating Transfers-Out	(332,059)					(332,059)	
Excess (Deficiency) of Revenues and Other Financing Sources (Uses) Over Expenditures	\$497,268	\$540,083	(\$45)	\$1,532,571	\$23,127	\$2,593,004	(\$528,119)
Fund Balance (Deficit), Beginning of Year	449,233	211,044	35,658	266,755	129,110	1,091,800	1,619,919
Fund Balance (Deficit), End of Year	\$946,501	\$751,127	\$35,613	\$1,799,326	\$152,237	\$3,684,804	\$1,091,800

See accompanying notes to financial statements.

(a) Restated to include Stormwater Management Utility Fund.

**EXHIBIT 3**  
**CITY OF FOREST PARK**  
**COMBINED STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES-BUDGET AND ACTUAL**  
**ALL GOVERNMENTAL FUND TYPES (NON-GAAP BUDGETARY BASIS)**  
**FOR THE YEAR ENDED DECEMBER 31, 1991**

	GENERAL FUND			SPECIAL REVENUE FUNDS		
	BUDGET	ACTUAL	VARIANCE FAVORABLE (UNFAVORABLE)	BUDGET	ACTUAL	VARIANCE FAVORABLE (UNFAVORABLE)
<b>REVENUES</b>						
Municipal Income Taxes	\$3,353,338	\$3,580,862	\$227,524			
Property Taxes	661,039	668,784	7,745			
Other Taxes	450,033	395,770	(54,263)	\$475,346	\$490,343	\$14,997
Intergovernmental Receipts	115,000	355,220	240,220	237,000	260,746	23,746
Special Assessments	12,500	15,085	2,585	267,500	299,455	31,955
Charges for Services	4,500	5,388	888			
Fees, Licenses and Permits	204,608	143,600	(61,008)	520,000	546,821	26,821
Investment Earnings	145,000	75,362	(69,638)	17,500	13,745	(3,755)
Fines and Forfeitures	223,000	186,001	(36,999)	52,550	43,392	(9,158)
All Other Revenues	118,134	96,276	(21,858)			
<b>TOTAL REVENUES</b>	<b>\$5,287,152</b>	<b>\$5,522,348</b>	<b>\$235,196</b>	<b>24,823</b>	<b>49,200</b>	<b>24,377</b>
				<b>\$1,594,719</b>	<b>\$1,703,702</b>	<b>\$108,983</b>
<b>EXPENDITURES</b>						
Current						
Security of Persons & Property	\$1,843,071	\$1,829,083	\$13,988			
Public Health & Welfare Services	15,200	14,099	1,101	\$685,608	\$678,369	\$7,239
Lelfare Activities	158,107	152,522	5,585	61,901	56,311	5,590
Streets and Transportation						
Storm Drainage				542,628	408,215	134,413
Community Environment				294,138	278,459	15,679
Basic Utility Services	1,291,836	1,279,379	12,457			
General Government	58,330	54,965	3,365			
Capital Outlay	1,670,921	1,581,611	89,310			
	127,236	124,954	2,282			
<b>TOTAL EXPENDITURES</b>	<b>\$5,164,701</b>	<b>\$5,036,613</b>	<b>\$128,088</b>	<b>753,688</b>	<b>733,288</b>	<b>20,400</b>
				<b>\$2,337,963</b>	<b>\$2,154,642</b>	<b>\$183,321</b>
Excess (Deficiency) of Revenues over Expenditures						
Other Financing Sources (Uses)	122,451	485,735	363,284			
Proceeds from Issuance of Bonds				(743,244)	(450,940)	292,304
Proceeds from Issuance of Notes				1,025,000	1,025,000	
Loan Payments-Principal & Interests				15,000	15,000	
Operating Transfers-In						
Operating Transfers-Out	(330,391)	(362,059)	(31,668)	65,779	17,700	(48,079)
				(683,466)	(671,223)	12,243
Excess (Deficiency) of Revenues and Other Financing Sources (Uses) Over Expenditures	(\$207,940)	\$123,676	\$331,616			
				<b>(\$320,931)</b>	<b>(\$64,463)</b>	<b>\$256,468</b>
<b>Fund Balance, Beginning of Year</b>	<b>766,721</b>	<b>766,721</b>		<b>853,867</b>	<b>853,867</b>	
<b>Encumbrances, End of Year</b>	<b>275,198</b>	<b>275,198</b>		<b>47,933</b>	<b>47,933</b>	
<b>Fund Balance, End of Year</b>	<b>\$833,979</b>	<b>\$1,165,595</b>	<b>\$331,616</b>	<b>\$580,869</b>	<b>\$837,337</b>	<b>\$256,468</b>

See accompanying notes to financial statements.

EXHIBIT 3 (continued)  
CITY OF FOREST PARK, OHIO  
COMBINED STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES-BUDGET AND ACTUAL  
ALL GOVERNMENTAL FUND TYPES (NON-GAAP BUDGETARY BASIS)  
FOR THE YEAR ENDED DECEMBER 31, 1991

	DEBT SERVICE FUNDS			CAPITAL PROJECTS FUNDS		
REVENUES	BUDGET	ACTUAL	VARIANCE FAVORABLE (UNFAVORABLE)	BUDGET	ACTUAL	VARIANCE FAVORABLE (UNFAVORABLE)
Investment Earnings						
All Other Revenues				\$67,005	\$122,136	\$55,131
TOTAL REVENUES					20,000	20,000
EXPENDITURES				\$67,005	\$142,136	\$75,131
Current						
General Government						
Capital Outlay						
Debt Service:						
Principal Repayment	\$2,327,500	\$2,327,500		\$267,692	\$190,958	\$76,734
Interest & Fiscal Charges	470,603	470,603		2,536,661	2,435,205	101,456
TOTAL EXPENDITURES	\$2,798,103	\$2,798,103				
Excess (Deficiency) of Revenues over Expenditures				\$2,804,353	\$2,626,163	\$178,190
Other Financing Sources (Uses)						
Proceeds From Sale of Land						
Proceeds from Issuance of Notes						
Proceeds from Issuance of Bonds						
Operating Transfers-In						
Operating Transfers-Out						
Excess (Deficiency) of Revenues and Other Financing Sources (Uses) Over Expenditures						
Fund Balance, Beginning of Year						
Encumbrances, End of Year						
Fund Balance, End of Year						

See accompanying notes to financial statements.



## **RESULTING EMPLOYMENT OPPORTUNITIES**

- A. Temporary Employment: It is anticipated that 10 temporary construction jobs will be created as a result of this project.
- B. Full-time Employment: It is not anticipated that any new full-time employment will result from the proposed infrastructure activity.

CDS Associates Inc.  
15 MINUTE, 2 CHANNEL VEHICLE COUNT  
CORRECTION FACTOR: 1.00

REFERENCE: 90006-15 0  
LOCATION: Hanover 150 feet South of Kemper  
WEATHER: Sunny  
OPERATOR: AAS

FILENAME: 90006-5  
FRIDAY 4 / 20 / 90

HOUR BEGINS	0	NB 15	30	45	HOUR TOTAL	0	SB 15	30	45	HOUR TOTAL	COMBINED TOTAL
AM											
12	7	3	9	6	25	8	5	6	7	26	51
1	4	5	5	0	14	10	6	4	4	24	38
2	3	3	0	1	7	5	10	5	3	23	30
3	5	0	1	0	6	6	3	4	1	14	20
4	0	3	3	2	8	3	5	4	1	13	21
5	2	2	2	1	7	3	2	1	3	9	16
6	0	3	0	3	6	1	0	2	5	8	14
7	6	6	5	8	25	3	4	7	10	24	49
8	5	9	12	21	47	8	6	7	10	31	78
9	14	18	25	19	76	6	24	16	16	62	138
10	20	25	30	18	93	18	12	19	23	72	165
11	26	34	28	22	110	13	16	16	16	61	171
PM											
12	29	23	26	40	118	28	18	21	27	94	212
1	27	28	31	28	114	24	13	21	23	81	195
2	22	21	35	27	105	14	28	27	22	91	196
3	35	23	25	17	100	21	22	25	17	85	185
4	29	29	35	56	149	13	23	14	19	69	218
5	45	58	35	29	167	22	21	26	18	87	254
6	21	23	23	19	86	22	19	14	21	76	162
7	29	31	24	20	104	20	16	14	19	69	173
8	18	25	7	17	67	16	26	11	17	70	137
9	12	7	13	8	40	13	20	10	10	53	93
10	3	13	12	7	35	13	13	9	9	44	79
11	7	7	5	6	25	7	13	15	9	44	69
TOTALS					1534					1230	2764

AM PEAK HOUR IS 10:30 TO 11:30

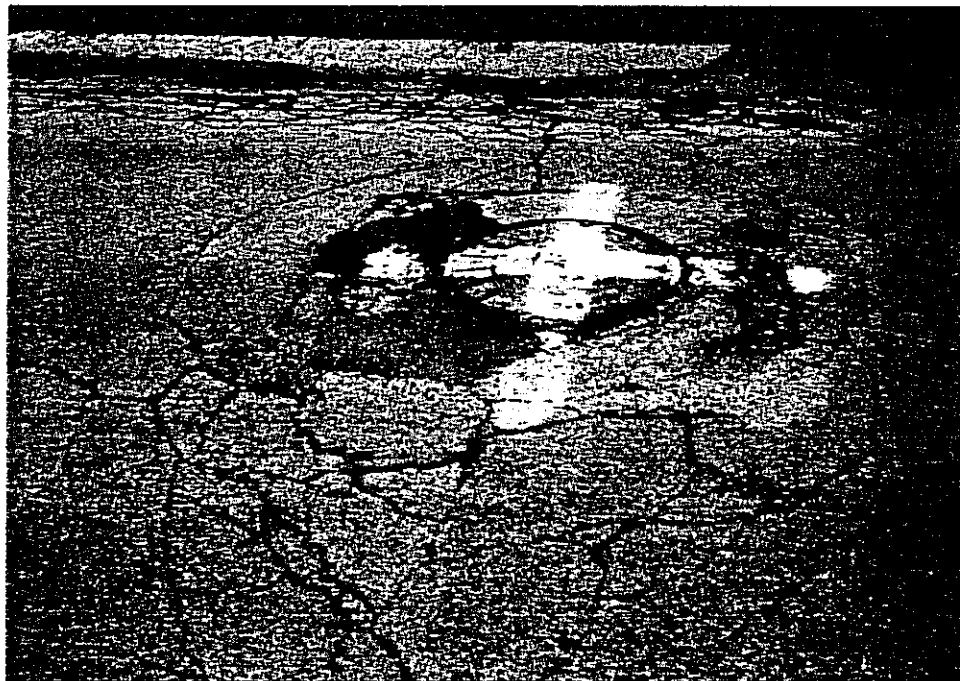
VOLUME	NB	:	108	SB	:	71	COMBINED:	179
DIRECTIONAL SPLIT			60%			40%		
PEAK HOUR FACTOR			0.79			0.77		0.89

PM PEAK HOUR IS 4:45 TO 5:45

VOLUME	NB	:	194	SB	:	88	COMBINED:	282
DIRECTIONAL SPLIT			69%			31%		
PEAK HOUR FACTOR			0.84			0.85		0.89



DETERIORATION OF WEST CURB (PARKING LANE SIDE OF ROAD) AT HOUSE  
#11631



PAVEMENT CRACKING AROUND MANHOLE AND ALONG GUTTER. NOTE CURB  
IS PATCHED WITH ASPHALT (UPPER RIGHT). PHOTO TAKEN IN FRONT OF  
HOUSE #11634



NUMEROUS PATCHES IN CURB AT SMILEY AVENUE



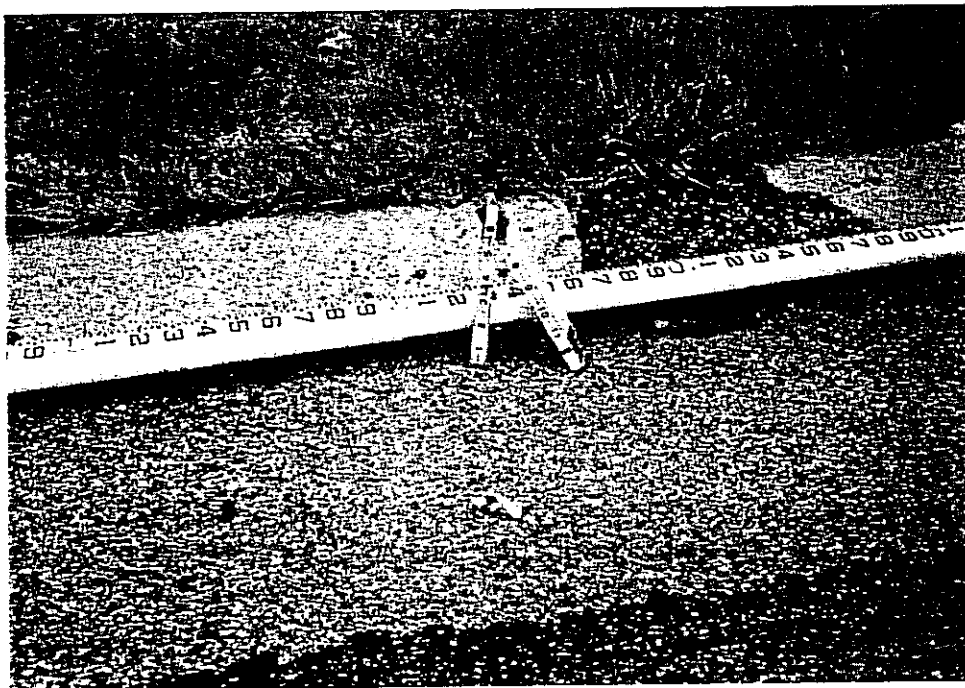
SEPARATION OF PAVEMENT AT CENTERLINE OF STREET IN FRONT OF HOUSE  
#11670



EAST CURB HAS SUNK SO BADLY ONLY ASPHALT REPAIR IS VISIBLE



CURB AND DRIVEWAY APRON DISREPAIR ON WEST (PARKING LANE) SIDE OF  
ROAD AT HOUSE #11671



CURB HAS SUNK 2" AND HAS BEEN PATCHED. EAST SIDE OF ROAD NORTH OF  
HOLDERNESS NEAR HOUSE # 11686



DETERIORATING CURB WEST SIDE OF ROAD AT 11655 HANOVER



PAVEMENT AND CURB ON EAST SIDE OF ROAD AT HOUSE #11662

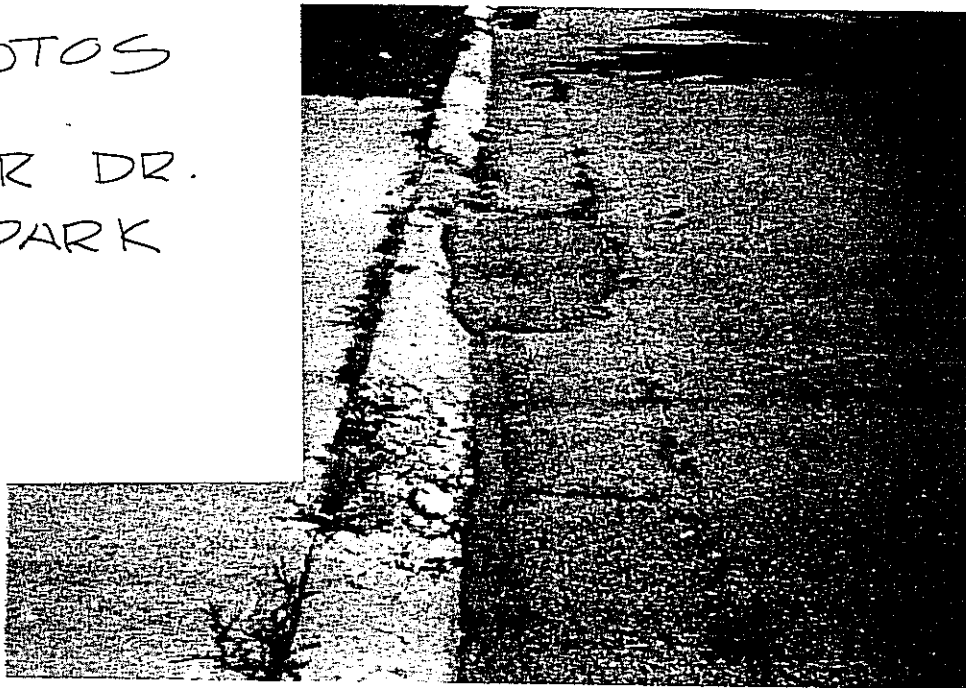


PAVEMENT AND CURB CRACKING AND PATCHING ALONG EAST CURB LINE.  
PHOTO TAKEN IN THE VICINITY OF HOUSE #11630 - 11640



EXTRA  
PHOTOS

HANOVER DR.  
E. PARK



DETERIORATION OF WEST CURB (PARKING LANE SIDE OF ROAD) AT HOUSE  
#11631



PAVEMENT CRACKING AROUND MANHOLE AND ALONG GUTTER. NOTE CURB  
IS PATCHED WITH ASPHALT (UPPER RIGHT). PHOTO TAKEN IN FRONT OF  
HOUSE #11634



PAVEMENT AND CURB CRACKING AND PATCHING ALONG EAST CURB LINE.  
PHOTO TAKEN IN THE VICINITY OF HOUSE #11630 - 11640



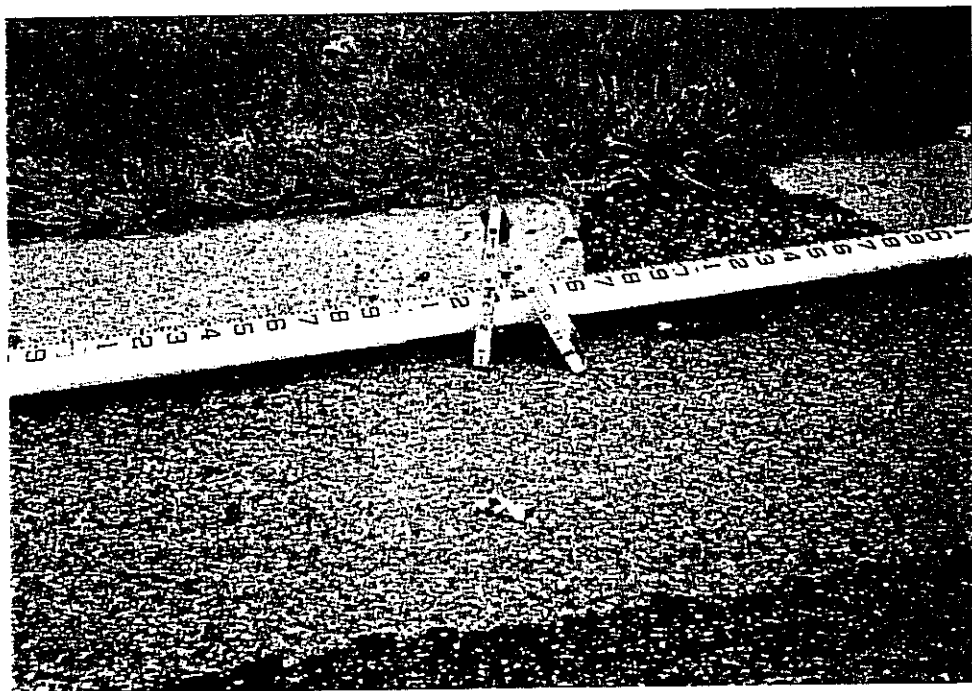
DETERIORATING CURB WEST SIDE OF ROAD AT 11655 HANOVER



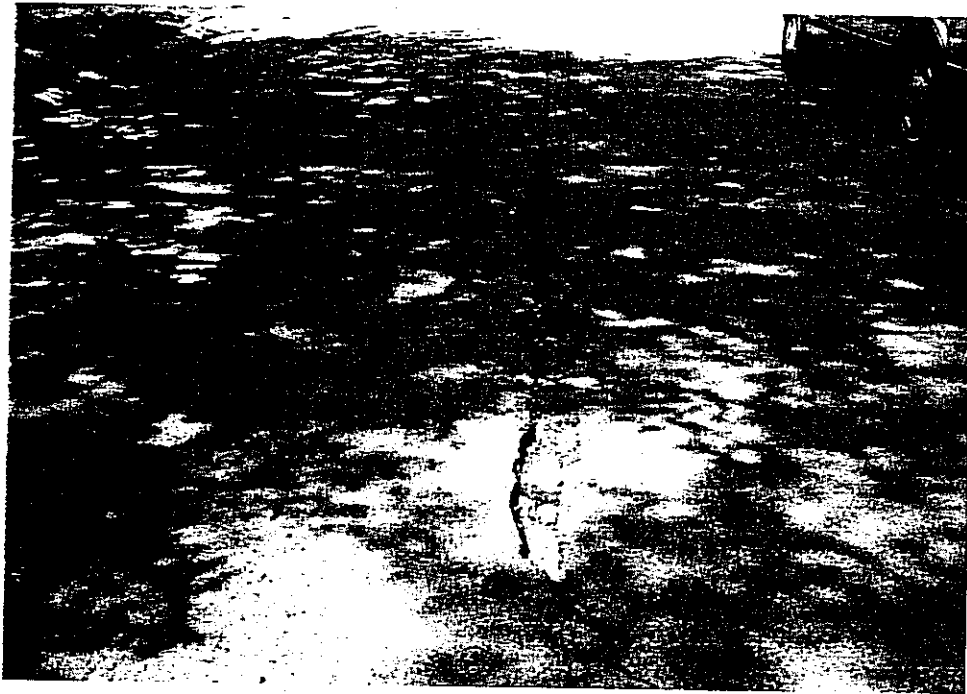
PAVEMENT AND CURB ON EAST SIDE OF ROAD AT HOUSE #11662



CURB AND DRIVEWAY APRON DISREPAIR ON WEST (PARKING LANE) SIDE OF  
ROAD AT HOUSE #11671



CURB HAS SUNK 2" AND HAS BEEN PATCHED. EAST SIDE OF ROAD NORTH OF  
HOLDERNESS NEAR HOUSE # 11686



SEPARATION OF PAVEMENT AT CENTERLINE OF STREET IN FRONT OF HOUSE  
#11670



EAST CURB HAS SUNK SO BADLY ONLY ASPHALT REPAIR IS VISIBLE



NUMEROUS PATCHES IN CURB AT SMILEY AVENUE

## ADDITIONAL SUPPORT INFORMATION

For Fiscal Year 1994 (July 1, 1993 through June 30, 1994), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

- 1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State Form BR-86.

Closed \_\_\_\_\_

Poor   X  

Fair \_\_\_\_\_

Good \_\_\_\_\_

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

The curb and pavement along the curb have deteriorated badly, even with constant patching. Vehicles must drive along the east curb line, as no parking is allowed on the east side of the road, and avoid the potholes and patches. The proposed work will improve the traffic flow and enhance safety, as motorists use Hanover as a connector from Kemper to Kenn.

- 2) If State Issue 2 funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 1993) would the project be under contract? The Support Staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

  1   weeks/months (Circle one)

Are preliminary plans or engineering completed?

Yes No

Are detailed construction plans completed?

Yes No

Are all right-of-way and easements acquired?

Yes No N/A

Are all utility coordinations completed

Yes No N/A

Give an estimate of time, in weeks or months, to complete any item above not yet completed.

  6   weeks/months

The estimated time to complete the detailed construction plans through the bid process is six (6) months. Utility coordination will be provided in concurrence with the detailed plan design.

- 3) How will the proposed project impact the general health, safety and welfare of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, and commerce.) Please be specific and provide documentation if necessary to substantiate the data.

Users who travel northbound from Kemper to Kenn will benefit from the improved safer driving surface along the east curb (northbound travelway).

- 4) What type of funds are to be utilized for the local share for this project?

Federal \_\_\_\_\_ ODOT \_\_\_\_\_ Local   X    
MRF \_\_\_\_\_ ODNR \_\_\_\_\_ CD \_\_\_\_\_  
Other \_\_\_\_\_

NOTE: If MRF funds are being used for the local share, the MRF application must have been filed by August 1, 1992, for this project with the Hamilton County Engineer's Office.

The minimum amount of matching funds for grant projects (local share) must be at least 10% of the TOTAL CONSTRUCTION COST. What percentage of matching funds are being committed to this project?

  20   %

- 5) Has any formal action by a federal, state, or local government agency resulted in a complete or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits.) A copy of the legislation must be submitted with the application. THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE VALID.

Complete Ban \_\_\_\_\_ Partial Ban   X   No Ban \_\_\_\_\_

Will the ban be removed after the project is completed?

Yes \_\_\_\_\_ No   X  

Through trucks prohibited ban currently exists as imposed by the City of Forest Park to protect residents from cut through truck traffic. The road is too narrow for truck traffic with cars parked along the west curb (less than 8' wide lanes).



- 6) What is the total number of existing users that will benefit as a result of the proposed project?

Total numbers of users per day is  $2765 \times 1.2 = 3,318$  users.

For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

- 7) Has the jurisdiction developed a Five Year Capital Improvement Plan as required in O.R.C., Chapter 164? (This must be included with the application to be considered for funding.)

Yes   X   No           

- 8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

This section of Hanover Drive serves both Forest Park and the City of Springdale. Many bus riders also use the street to park their cars and walk to the bus stop on Kemper.

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# STATE ISSUE 2 PROGRAM - ROUND 6

## LTIP PROGRAM - ROUND 5

FISCAL YEAR 1994 PROJECT SELECTION CRITERIA - JULY 1, 1993 TO JUNE 30, 1994

ADOPTED BY THE DISTRICT 2 INTEGRATING COMMITTEE JULY 17, 1992

AMENDED BY THE DISTRICT 2 INTEGRATING COMMITTEE SEPTEMBER 18, 1992

JURISDICTION/AGENCY: FOREST PARK

NAME OF PROJECT: HANOVER DRIVE

TOTAL POINTS FOR THIS PROJECT: 44

### NO. POINTS

- 10 1) If Issue 2/LTIP Funds are granted, when would the construction contract be awarded? (The Support Staff will assign points based on engineering experience.)
- 10 Points - Will be under contract by end of 1993
  - 5 Points - Will be under contract by March 30, 1994
  - 0 Points - Will not be under contract by March 30, 1994
- 16 2) What is the condition of the infrastructure to be replaced or repaired? For bridges, base condition on latest general appraisal and condition rating.
- 20 Points - Poor Condition
  - 16 Points -
  - 12 Points - Fair to Poor Condition
  - 8 Points -
  - 4 Points - Fair Condition

NOTE: If the infrastructure is in "good" or better condition it will NOT be considered for Issue 2/LTIP funding, unless it is a betterment project that will improve serviceability.

2

- 3) If the project is built, what will be its effect on the facility's serviceability?

10 Points - Significant effect (e.g., widen to and add lanes along entire project)  
8 Points - Moderate to significant effect  
6 Points - Moderate effect (e.g., widen exist. lanes)  
4 Points - Moderate to little effect  
2 Points - Little or no effect (e.g., street or bridge deck rehabilitation)

4

- 4) How important is the project to HEALTH, SAFETY, AND WELFARE of the public and the citizens of the District and/or service area?

10 Points - Highly significant importance, with substantial impact on all 3 factors  
8 Points - Considerably significant importance, with substantial impact on 2 factors OR noticeable impact on all 3 factors  
6 Points - Moderate importance, with substantial impact on 1 factor or noticeable impact on 2 factors  
4 Points - Minimal importance, with noticeable impact on 1 factor  
2 Points - No measurable impact

6

- 5) What is the overall economic health of the jurisdiction?

10 Points - Poor  
8 Points -  
6 Points - Fair  
4 Points -  
2 Points - Excellent

2

- 6) What matching funds are being committed to the project, expressed as a percentage of the TOTAL CONSTRUCTION COST? Loan and Credit Enhancement projects automatically receive 5 points, and no match is required. All grant funded projects require a minimum of 10% matching funds.

5 Points - 50% or more  
4 Points - 40% to 49.99%  
3 Points - 30% to 39.99%  
2 Points - 20% to 29.99%  
1 Point - 10% to 19.99%

- 0 7) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? POINTS MAY ONLY BE AWARDED IF THE END RESULT OF THE PROJECT WILL CAUSE THE BAN TO BE LIFTED.

5 Points - Complete or significant ban  
3 Points - Partial or moderate ban  
0 Points - No ban of any kind

- 2 8) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for roads and bridges, but only when certifiable ridership figures are provided.

5 Points - 10,000 or more  
4 Points - 7,500 to 9,999  
3 Points - 5,000 to 7,499  
2 Points - 2,500 to 4,999  
1 Point - 2,499 and under

- 1 9) Does the infrastructure have REGIONAL impact? Consider origins and destinations of traffic, functional classification, size of service area, number of jurisdictions served, etc.

5 Points - Major impact (e.g., major multi-jurisdictional route, primary feed route to an Interstate, Federal - Aid Primary routes)  
4 Points -  
3 Points - Moderate impact (e.g., principal thoroughfares, Federal - Aid Urban routes)  
2 Points -  
1 Point - Minimal or no impact (e.g., cul-de-sacs, subdivision streets)

- 1 10) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or a dedicated tax for infrastructure?

2 Points - Two of the above  
1 Point - One of the above  
0 Points - None of the above

**ADDENDUM TO THE RATING SYSTEM  
DEFINITIONS**

**CRITERION 2 - CONDITION**

Poor - Condition is dangerous, unsafe or unusable

Fair to Poor - Condition is inadequate or substandard

Fair - Condition is average, not good or poor

**CRITERION 5 - ECONOMIC HEALTH**

The following factors are used to determine economic health:

- 1) Median per capita income
- 2) Per capita assessed valuation of the total community real estate and personal property
- 3) Poverty indicators
- 4) Effective tax rates
- 5) Total corporate debt as a percentage of assessed valuation
- 6) Municipal revenues and expenditures per capita

**CRITERION 9 - REGIONAL IMPACT**

- |                   |  |
|-------------------|--|
| Major impact -    | Primary water or sewer main serving an entire system     |
| Moderate impact - | Waterline or storm sewer serving only part of a system   |
| Minimal impact -  | Individual waterline or storm sewer not part of a system |